



AF/6AU-1633  
K Davis  
04-21-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Harriet L. Robinson, Ellen F. Fynan, Robert G. Webster and Shan Lu

Application No.: 08/187,879 Group: 1633

Filed: January 27, 1994 Examiner: D. Clark

For: IMMUNIZATION BY INOCULATION OF DNA TRANSCRIPTION UNIT

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231	
on 04/14/00	<i>Lisa Jensen</i>
Date	Signature
Lisa Jensen	
Typed or printed name of person signing certificate	

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TRANSMITTAL OF SECOND SUBMISSION UNDER 37 CFR 1.129(a)

Box AF  
Assistant Commissioner for Patents  
Washington, D.C., 20231

Sir:

This is a request for entrance of this Submission Under 37 C.F.R. 1.129(a) and for withdrawal of the finality of the Office Action dated July 13, 1999.

- ☒ Transmitted herewith are the following documents being submitted under 37 CFR 1.129(a):
  - ☐ Information Disclosure Statement
  - ☒ Amendment to description, claims and/or drawings
  - ☒ New argument/evidence in support of patentability
  - ☒ Other Declaration Under 37 C.F.R. 1.132 of Shan Lu, M.D. with Exhibit A
- ☐ Please enter the previously filed Amendment After Final under 37 CFR 1.116, mailed [ ] and currently unentered.

04/19/2000 STEFERRA 00000108 08187879 380.00 OP  
02 FC:116

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3. ☒ Applicants hereby petition for a two month extension of time for filing a Brief Appeal, pursuant to the Notice of Appeal received in the Patent Office on December 16, 1999. The appropriate fee for such extension is included with this Submission.

4. ☒ Fees are submitted for the following:

<input checked="" type="checkbox"/> Fee for filing this Submission under 37 C.F.R. 1.129(a) as required by 37 C.F.R. 1.17(r)	\$ 690
<input type="checkbox"/> Fee for filing the enclosed Amendment	\$
<input checked="" type="checkbox"/> Extension of Time for two months	\$ 380
<input type="checkbox"/> Other	\$
<hr/>	
TOTAL	\$ 1070

5. ☒ Method of payment for the total fees is as follows:

☒ A check in the amount of \$1070.00 is enclosed.

☐ Please charge Deposit Account No. 08-0380 in the amount of \$[ ].

Authorization is granted to charge any additional fees which may be due in this matter to Deposit Account No. 08-0380. A copy is enclosed for accounting purposes.

Respectfully submitted,

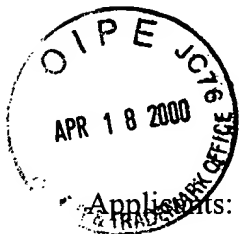
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

*David E. Brook, RN 22592*

By *for Elizabeth W. Mata*  
Elizabeth W. Mata  
Registration No. 38,236  
Telephone (781) 861-6240  
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Lexington, MA 02421-4799

Dated: 4/14/00



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Harriet L. Robinson, Ellen F. Fynan, Robert G. Webster and Shan Lu

Application No.:

08/187,879

Group:

1633

Filed:

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Examiner:

D. Clark

For:

IMMUNIZATION BY INOCULATION OF DNA  
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on <u>04/14/00</u>	<u>Lisa Jensen</u>
Date	Signature
Lisa Jensen	
Typed or printed name of person signing certificate	

Box AF

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

Transmitted herewith is a Second Submission Under 37 C.F.R. 1.129(a) for filing in the above-identified application.

[ ] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a verified statement previously submitted.

[ ] A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

The fee has been calculated as shown below:

(COL. 1)	(COL. 2)	(COL. 3)	(COL. 4)	(COL. 5)
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA
TOTAL	25	MINUS	* 76	0
INDEP	4	MINUS	** 4	0
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				

SMALL ENTITY		
	RATE	ADDIT. FEE
X	\$ 9	\$
X	\$39	\$
+	\$130	\$

OR

OTHER THAN SMALL ENTITY		
	RATE	ADDIT. FEE
X	\$18	\$ 0
X	\$78	\$ 0
+	\$260	\$

TOTAL = \$ 0

TOTAL = \$ 0

\* not fewer than 20

\*\* not fewer than 3

Please charge Deposit Account No. 08-0380 for the following fees:

<input type="checkbox"/>	Petition for [ ] month Extension of Time	\$	_____
<input type="checkbox"/>	Amendment Fee	\$	_____
<input type="checkbox"/>	Other Fees:		
		\$	_____
		\$	_____
	TOTAL:	\$	<u>0</u>

A check is enclosed in payment of the following fees:

<input checked="" type="checkbox"/>	Petition for two month Extension of Time	\$	<u>380</u>
<input type="checkbox"/>	Amendment Fee	\$	_____
<input checked="" type="checkbox"/>	Other Fees:		
	Second Submission Under 37 C.F.R. 1.129(a)	\$	<u>690</u>
		\$	_____
	TOTAL:	\$	<u>1070</u>

☒ A general authorization is hereby granted to charge Deposit Account No. 08-0380 for any fees required under 37 C.F.R. 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

*David E. Brook, RN23592*

By *for Elizabeth W. Mata*  
Elizabeth W. Mata  
Registration No. 38,236  
Telephone: (781) 861-6240  
Facsimile: (781) 861-9540

Lexington, Massachusetts 02421-4799

Dated: 4/14/00